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| <b>FORM PTO-1449</b><br>U.S. DEPARTMENT OF COMMERCE<br>PATENT AND TRADEMARK OFFICE                                      |  | App. Docket No.<br><b>86823SMR</b><br>Customer No. 01333 |  | Serial No.<br>(To be Assigned) |  |
| If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee |  | Applicant<br><b>Hwei-Ling Yau, et al</b>                 |  |                                |  |
| LIST OF ART CITED BY APPLICANT<br><i>(Use several sheets if necessary)</i>  |  | Filing Date<br><b>Filed Herewith</b>                     |  | Group<br><b>Unknown</b>        |  |

  

| U.S. PATENT DOCUMENTS |                 |          |                  |       |          |                               |
|-----------------------|-----------------|----------|------------------|-------|----------|-------------------------------|
| Examiner<br>Initial*  | DOCUMENT NUMBER | DATE     | NAME             | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
| SM                    | 4,399,209       | 8/16/83  | Sanders et al    | —     | —        |                               |
| SM                    | 4,416,966       | 11/22/83 | Sandera et al    | —     | —        |                               |
| SM                    | 4,440,846       | 4/3/84   | Sanders et al    | —     | —        |                               |
| SM                    | 4,766,050       | 8/23/88  | Jerry            | —     | —        |                               |
| SM                    | 5,783,353       | 7/21/98  | Camillus et al   | —     | —        |                               |
| SM                    | 6,030,740       | 02/29/00 | Polykarpov       | —     | —        |                               |
| SM                    | 6,080,520       | 6/27/00  | Polykarpov et al | —     | —        |                               |
| SM                    | 6,365,319       | 4/2/02   | Heath et al      | —     | —        |                               |
| SM                    | 6,544,711 B1    | 4/8/03   | Anderson et al   | —     | —        |                               |
| SM                    | 2002/0045121 A1 | 4/18/02  | Higuchi et al    | —     | —        |                               |

  

| FOREIGN PATENT DOCUMENTS |                 |      |         |       |          |                         |
|--------------------------|-----------------|------|---------|-------|----------|-------------------------|
| Examiner<br>Initial*     | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES   NO |
|                          |                 |      |         |       |          |                         |

  

| OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.) |                               |
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| EXAMINER   | DATE CONSIDERED <b>3/4/06</b> |

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FORM BKC-1449

Atty. Docket No.

86823SMR

Serial No.

10/687,939

If AFTER the later date of the first Office Action or 3 months from filing, use only with Rule 97(E) Certificate or Fee

Customer No. 01333

Applicant:

Hwei-Ling Yau, et al

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

Filing Date

17 October 2003

Group

1756

## U.S. PATENT DOCUMENTS

| Examiner<br>Initial* | DOCUMENT NUMBER | DATE       | NAME         | CLASS | SUBCLASS | FILING DATE<br>IF APPROPRIATE |
|----------------------|-----------------|------------|--------------|-------|----------|-------------------------------|
| JH                   | 2002/0031716 A1 | 03-14-2002 | Nagata et al |       |          |                               |

## FOREIGN PATENT DOCUMENTS

| Examiner<br>Initial* | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION<br>YES   NO |
|----------------------|-----------------|------|---------|-------|----------|-------------------------|
|                      |                 |      |         |       |          |                         |

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